



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/628,880	07/31/2000	James S. Rosen	COMET-003XX	4970
207	7590	02/10/2004	EXAMINER	
WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP TEN POST OFFICE SQUARE BOSTON, MA 02109			DINH, KHANH Q	
			ART UNIT	PAPER NUMBER
			2151	8
DATE MAILED: 02/10/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Applicati n N .

09/628,880

Applicant(s)

ROSEN ET AL.

Examiner

Khanh Dinh

Art Unit

2155

-- The MAILING DATE of this communication appears n the c ver sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 03 December 2003.
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) 1-27 is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

DETAILED ACTION

1. This is in response to the Amendment filed on 12/3/2003 (paper # 7).

Claims 1-27 are presented for examination.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

3. Claims 1-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Tripp et al, US pat. No.6,516,337.

As to claim 1, Tripp discloses a method for enabling information associations comprising:

parsing a document, said document including a number of objects (i.e., processing search queries from remote users and delivering related documents in response to search queries , see fig.9, col.3 lines 35-63 and col.26 line 28 to

Art Unit: 2155

col.27 line 60) and forming a list of those of said objects in said document for which related information is available and presenting said list to a user (i.e., returning the search result to remote users) (see abstract, fig.2, col.8 line 60 to col.10 line 11 and col.11 line 14 to col.12 line 62).

detecting selection of one of said objects in said list by said user and searching a database (i.e., searching in a database file for a product catalog, col.10 lines 24-61) for information regarding said selected one of said objects in said list and displaying information from said database related to said selected one of said objects in said list to said user (see col.11 line 14 to col.12 line 62 and col.14 lines 12-61).

As to claim 2, Tripp discloses providing visual indication to said user of those of said objects in said document within said list (see col.14 lines 12-61 and col.15 line 1 to col.16 line 37).

As to claim 3, Tripp discloses detecting an operation in which said document is loaded into an application program and wherein said forming of said list of said objects for which related information is available is responsive to said detecting of said operation in which said document is loaded into said application program, and wherein said forming of said list comprises comparing objects within said document with entries in a dictionary data structure (using the data dictionary for each table in the database, see figs.20, 21, col.35 line 14 to col.15 line 65).

Art Unit: 2155

As to claim 4, Tripp one of said objects within said document in said list in the event that said object within said document matches one of said entries in said dictionary (see figs.20, 21, col.35 line 14 to col.15 line 65 and col.37 lines 12-67).

As to claims 5 and 6, Tripp discloses downloading of said document onto a local system using a browser program and loading said document into a word processor program (see col.11 line 14 to col.12 line 62 and col.16 lines 9-64).

As to claims 7 and 8, Tripp discloses loading said document into an email program and detecting select on of said indication of said at least one related resource by said user; and outputting content associated with said at least one related resource in response to said detecting selection of said indication of said at least one related resource (using an email containing in a brochure file for requesting data from a server, see col.10 line 5 to col.11 line 56).

As to claim 9, Tripp discloses at least one related resource comprises a uniform resource locator associated with said related resource (see col.10 lines 5-61).

As to claims 10 and 11, Tripp discloses at least one related resource from said database comprises streaming audio information (see col.19 line 10 to col.20 line 26).

Art Unit: 2155

As to claim 12, Tripp discloses monitoring user activities on a local system (using index server to process requests from the users, see col.24 lines 6-67) activities indicating whether a user of said local system is interested in said document and determining a rating of said document (using the list of rating values) in response to said monitoring of said activities on said local system and storing said rating of said document in association with said document, wherein said rating determines, at least in part, a likelihood that said document will be displayed in response to a search of said database (see col.7 lines 3-62 and col.35 line 14 to col.36 line 61).

Claims 13-24 are rejected for the same reasons set forth in claims 1-12 respectively.

Claims 25 and 27 are rejected for the same reasons set forth in claim 1.

Claim 26 is rejected for the same reasons set forth in claim 1. As to the added limitations, Tripp discloses a client computer system, said client computer system including a processor coupled to a memory (see figs.3, 9, col.6 lines 18-53 and col.11 line 14 to col.12 line 62).

Response to Arguments

4. Applicant's arguments filed on 12/3/2003 (paper # 7) have been fully considered but they are not persuasive.

- Applicant asserts that the Tripp reference does not disclose
“forming a list of objects in a document for which related information

is available and presenting a list to a user, detecting the selection of one of the objects in the list by the user, searching a database for information regarding the selected object and displaying information from the database related to the selected object to the user".

Examiner respectfully disagrees. Tripp discloses forming a list of those of said objects (i.e., processing search queries from remote users and delivering related documents in response to search queries to users, see fig.9, col.3 lines 35-63 and col.26 line 28 to col.27 line 60) in said document for which related information is available and presenting said list to a user (i.e., returning the search result to remote users) (see abstract, fig.2, col.8 line 60 to col.10 line 11 and col.11 line 14 to col.12 line 62), detecting selection of one of said objects in said list by said user and searching a database (i.e., searching in a database file for a product catalog, col.10 lines 24-61) for information regarding said selected one of said objects in said list and displaying information from said database related to said selected one of said objects in said list to said user (i.e., using the selected index server set to return search results to the query processor in response to the search query and then using the Web Server to return the search results to the remote users, see col.11 line 14 to col.12 line 62 and col.14 lines 12-61) as rejected above.

Conclusion

5. Claims 1-27 are rejected.

Art Unit: 2155

6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

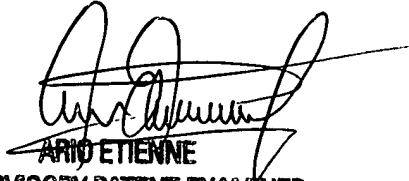
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Khanh Dinh whose telephone number is (703) 308-8528. The examiner can normally be reached on Monday through Friday from 8:00 A.m. to 5:00 P.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess, can be reached on (703) 305-4792. The fax phone number for this group is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305 -9600.

Khanh Dinh
Patent Examiner
Art Unit 2151
2/6/2004



ARIO ETIENNE
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100